



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Spurgat et al.

Application No.: 09/833,173

Filed: April 11, 2001

For: Content Protection Through the Audio and Video  
Decrypting and Decoding Device**CERTIFICATE OF MAILING**

I hereby certify that this paper is being deposited with the  
United States Postal Service as first class mail in an envelope  
addressed to: Commissioner of Patents and Trademarks,  
Washington, D.C. 20231, on this date

9-7-01

Date

John S. Paniaguas

Registration No. 31,051

Attorney for Applicant(s)

**RESPONSE TO NOTICE TO FILE MISSING PARTS WITH PETITION  
FOR EXTENSION OF TIME**

Box MISSING PARTS

Commissioner of Patents and Trademarks

ATTENTION: Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated May 21, 2001 enclosed are:

1. (X) A copy of the Notice to File Missing Parts. (Required)
2. (X) An executed Declaration for Patent Application, including Power of Attorney.
3. Fee Calculation:

CLAIMS AS FILED – INCLUDING PRELIMINARY AMENDMENT (IF ANY)						
			SMALL ENTITY		LARGE ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$355.00		\$710.00
TOTAL (No. of claims – 20=)			X \$9.00		X \$18.00	
INDEP. (No. of claims – 3=)			X \$40.00		X \$80.00	
Assumed no multiple dependent claims – <input type="checkbox"/> First Presentation of Multiple Dependent Claim			+ \$135.00		+ \$270.00	
Filing Fee =			\$		\$	

09/14/2001 HBELEYEI 00000020 09833173

4. **Surcharge:**(X) Surcharge under 37 C.F.R. §1.16(e) (*Nonprovisional Application*).(X) \$65.00 (*Small Entity*) ( ) \$130.00 (*Large Entity*)(X) A check in the amount of \$ 65.00 to cover the Surcharge Fee is enclosed.5. **Petition for Extension of Time:**

Applicant(s) hereby petition(s) under 37 CFR §1.136(a) for an extension of time for reply in the above-identified application for the period required to make the accompanying reply timely, or, if there be no accompanying reply, for the period for which the fee is indicated or the period necessary to prevent abandonment of the above-identified application if longer than the period for which the fee is indicated.

EXTENSION (Months)	FEE FOR LARGE ENTITY		FEE FOR SMALL ENTITY	
One Month		\$110.00		\$55.00
Two Month		\$390.00	XXXXXXXXXXXXXXXX	\$195.00
Three Month		\$890.00		\$445.00
Four Month		\$1,390.00		\$695.00
Fifth Month		\$1,890.00		\$945.00

6. **Method of Payment:**(X) A check(s) in the amount(s) of \$ 195.00 to cover Extension of Time Fee is enclosed.

( ) Charge \$\_\_\_\_\_ to Deposit Account No. 50-1214.

7. (X) The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 50-1214. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-1214. This sheet is filed in triplicate.

9-7-01

(Date)

John S. PaniaguasRegistration No. 31,051

KATTEN MUCHIN ZAVIS  
525 West Monroe Street, Suite 1600  
Chicago, Illinois 60661-3693  
Telephone: (312) 902-5312  
Facsimile: (312) 577-4532



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/833,173	04/11/2001	Jeffrey J. Spurgat	11748/16

John S. Paniaguas  
KATTEN MUCHIN ZAVIS  
Suite 1600  
525 West Monroe Street  
Chicago, IL 60661



CONFIRMATION NO. 1523

FORMALITIES LETTER



\*OC000000006096871\*

Date Mailed: 05/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

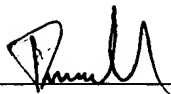
The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

09/14/2001 MBELETE1 00000020 09833173 *A copy of this notice **MUST** be returned with the reply.*

01 FC:205

65.00 OP

A handwritten signature in black ink, appearing to be "Daniel", is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE